

## Néron models:

- We revert to our standard notations:  $S$  (connected) Dedekind,  $\eta$  generic point, etc.

### 1) Generalities

def: Let  $X_{/\eta}$  be a smooth scheme. The Néron model  $N(X)$  of  $X$  is a smooth model of  $X$  such that, for all smooth  $S$ -schemes  $Z$ , the restriction map  $\text{Hom}_S(Z, N(X)) \longrightarrow \text{Hom}_{\eta}(Z_{\eta}, X)$  is a bijection.

- The Néron model does not exist in general, but if it does it is unique up to unique isomorphism.

Lemma: If  $X_{/\eta}$  is a group scheme which admits a Néron model, then  $N(X)$  is a group scheme over  $S$ .

Proof: Using the universal property, we see that  $N(X) \times_S N(X)$  is a Néron model of  $X \times_{\eta} X$ . Then, the structure morphisms  $X \times_{\eta} X \xrightarrow{m} X$ ,  $\eta \xrightarrow{e} X$  and  $i: X \rightarrow X$  extend to maps  $N(X) \times_S N(X) \xrightarrow{\tilde{m}} N(X)$ ,  $S \xrightarrow{\tilde{e}} N(X)$  and  $\tilde{i}: N(X) \rightarrow N(X)$  satisfying the axioms of group schemes.  $\square$

- Néron models can be constructed locally on  $S$ , so that one can often assume that  $S$  is a trait.

Given a Néron model  $N$ , we can consider its fiber  $N_{\sigma}$  at a closed point. It is a smooth commutative group scheme. Let us briefly describe the general structure of such an object  $G/\kappa = \text{Spec}(\kappa)$ :

- $G$  has an identity component  $G^0 \hookrightarrow G$ : a connected smooth commutative group scheme.

of finite type [  $G$  connected + group  $\Rightarrow G$  geom. connected; can pass to

rank: A connected locally of finite type scheme  $X$  over a field is not nec. of finite type.

There are easy non-separated examples — but also separated examples (use blow-ups). However this cannot happen if  $X = G$  is a group scheme:

Lemma:  $|G/\kappa$  locally of finite type connected grp scheme  $\Rightarrow G$  of finite type.

Proof:  $G$  geom. connected  $\Rightarrow$  wlog  $\kappa = \bar{\kappa}$ .  $G_{\text{red}}$  is smooth  $\Rightarrow G$  is irreducible.

Let  $U$  be a affine non-empty open. Then the map  $U \times U \xrightarrow{m} G$  is faithfully flat: flat because  $m$  is, surjective because for any  $g \in G(\kappa)$ ,  $U \cap g \cdot U^{-1} \neq \emptyset$ .

Since  $U \times U$  is quasi-compact,  $G$  is as well.  $\square$

- $\pi_0(G/\mathbb{Q}) := G/G^\circ$  is an étale group scheme, of finite type iff  $G$  is.
- The structure of  $G^\circ$  over an imperfect field can be really complicated.
- Assume  $k$  perfect.

A theorem of Chevalley says that there exists a unique exact sequence

$$0 \rightarrow L \rightarrow G^\circ \rightarrow B \rightarrow 0 \text{ with } \begin{aligned} * & L \text{ smooth affine commutative alg. group.} \\ * & B \text{ abelian variety.} \end{aligned}$$

Moreover  $L$  has a unique decomposition has  $U \times T$  with  $U$  unipotent ( $\Leftrightarrow$   $U$  is successive extension of copies of  $\mathbb{G}_a$ ) and a torus  $T$  ( $T_{\overline{k}} \cong \mathbb{G}_m^n$ ).

## 2) Main existence theorems

- thm:  $| A/\mathbb{Q}$  abelian variety. Then  $A$  admits a Néron model, which is moreover quasi-projective (hence separated of finite type).
- rmk: - there are other group schemes besides abelian varieties, for instance a group like  $\mathbb{G}_m$  admits a Néron model which is not of finite type ( $0 \rightarrow \mathbb{G}_{m,6} \rightarrow N(\mathbb{G}_m)_6 \rightarrow \mathbb{Z} \rightarrow 0$ )

idea of the proof:  $S = \text{trait} = \text{spectrum of a DVR for simplicity.}$

Steps: 0) Start with any proper flat model  $f_{t_0}$ .

- 1) Construct a smoothing (a certain weak form of desingularization)  $f_{t_1}$  of  $f_{t_0}$ .
- 2) Take the smooth locus  $A_2$  of  $f_{t_1}$  and prove it is a weak Néron model.
- 3) Remove the irrelevant irreducible special components to get  $f_{t_2}$ .
- 4) Construct a birational group law on  $f_{t_2}$  and extend it to an actual group law to get  $f_{t_3}$ .
- 5) Prove that  $f_{t_3} \cong N(A)$ .

0) Can start with any projective embedding  $A \hookrightarrow \mathbb{P}_\mathbb{Q}^N$  and close it up in  $\mathbb{P}_S^N \leadsto f_{t_0}$  proper flat.

- 1) def: Let  $X/S$  be of finite type with  $X_\mathbb{Q}/\mathbb{Q}$  smooth. A smoothing of  $X$  is a proper morphism  $X' \xrightarrow{f} X$  with  $f_\mathbb{Q}$  isomorphism and which satisfies:  
 $\forall S' \rightarrow S$  étale morphism, the canonical map  
 $(X')^{\text{an}}(S') \longrightarrow X(S')$  is bijective.

- rmk: A resolution of singularities of  $X$  is always a smoothing [BLR, 3.1/2]
- Néron and Raynaud proved that smoothings always exists and can be obtained by a sequence of blow-ups [BLR, 3.1/3].

2) Let  $A_2$  be the smooth locus of  $A_1$ . By construction, it is an instance of the following definition.

def: Let  $X_{\eta}/\eta$  be a smooth finite type scheme. A weak Néron model  $X$  of  $X_{\eta}$  is a smooth finite type  $S$ -scheme such that, for all  $S' \rightarrow S$  étale, the natural map  $X(S') \rightarrow X_{\eta}(S'_\eta)$  is a bijection.

The next step is to strengthen this mapping property to rational maps:

prop:  $A_2$  satisfies the following: for any smooth  $S$ -scheme  $Z$ , every rational map  $Z_\eta \dashrightarrow A_{2,\eta}$  extends to an  $S$ -rational map  $Z \dashrightarrow A_2$ .

rem: these two steps can be applied to get weak Néron models for any smooth variety. These are important in the theory of motivic integration [Nicaise].

3) Now we start using the fact that  $A$  is a group scheme.

This implies that  $\Omega_{A/k}^g$  is globally free, generated by an invariant differential  $\omega$ . By multiplication by a suitable element in  $R$ , we can arrange that  $\omega$  extends to a section  $\omega$  of  $\Omega_{A_2/S}^g$ , which does not vanish on the whole of  $A_{2,S}$ . Now put  $A_3 := A_2 \setminus \bigcup_{E \subset A_{2,S}} E$ .

4) Using the mapping property for rational map, one can show that the multiplication map on  $A$  extends to  $A_3$ :

thm: The morphism  $m_\eta: A \times_{\eta} A \rightarrow A$  extends to an  $S$ -rational map  $m: A_3 \times_S A_3 \dashrightarrow A_3$ . Moreover, the maps  $A_{3,S} \times A_{3,S} \xrightarrow{(p_1, m)} A_{3,S} \times A_{3,S}$  and  $A_{3,S} \times A_{3,S} \xrightarrow{(m, p_2)} A_{3,S} \times A_{3,S}$  are also  $S$ -birational.

This puts you in position to apply a theorem of Weil and Artin on extending birational group laws. I will not give the precise statement. This provides an open immersion  $A_3 \hookrightarrow A_1$ , with  $A_1$  smooth  $S$ -group scheme model of  $A$ .

5) Finally, in the presence of a group scheme structure, the mapping property for rational maps can be upgraded to the true Néron mapping property, because of:

thm (Weil)  $S$  normal noetherian,  $v: Z \dashrightarrow G$   $S$ -rational map with  $Z$  smooth and  $G$  smooth separated  $S$ -group scheme. If  $v$  is defined in codimension  $\leq 1$ , it is defined everywhere.

. For Jacobians of curves, it is possible to say more. A simple case is

thm:  $X/S$  flat projective curve such that : \*  $X$  is regular  
\*  $X/S$  has geom integral fibers.  
Then  $\text{Pic}^{\circ}_{X/S}$  is a Néron model of its generic fiber  $\text{Pic}^{\circ}_{X_{\eta}/\eta} \cong \text{Jac}(X_{\eta})$   
(in particular it is connected.)

Proof: We have representability of  $\text{Pic}_{X/S}$  by  $X \rightarrow S$  projective with geom. integral fibers, so the statement makes sense ( $\text{Pic}^{\circ}$  is the part of  $\text{Pic}$  with integral fibers). One can then reduce to  $S = \text{Spec}(R)$ ,  $R$  DVR and  $\mathfrak{d}$  admitting a section. We now prove the Néron mapping property.

Let  $T \rightarrow S$  be a smooth scheme and  $v_{\eta}: T_{\eta} \rightarrow \text{Pic}_{X_{\eta}/\eta}$ .  
Since  $X/S$  has a section,  $v_{\eta}$  corresponds to a line bundle  $L$   
on  $X_{\eta} \times_{\eta} T_{\eta}$ . Because  $X$  is regular and  $T \rightarrow S$  is  
smooth,  $X_S \times T$  is regular and  $X_{\eta} \times_{\eta} T_{\eta}$  is a dense open in  
 $X_S \times T$ . The line bundle  $L$  corresponds to a Weil divisor  $W$  on  $X_{\eta} \times_{\eta} T_{\eta}$ ; its closure  
in  $X_S \times T$  corresponds to a line bundle on  $X_S \times T$  by regularity  $\Rightarrow v$  extends to a  
morphism  $v: T \rightarrow \text{Pic}_{X/S}$ .

• By constancy of the degree in flat families,  $v$  factors through  $\text{Pic}^{\circ}_{X/S}$ . Since  
 $\text{Pic}^{\circ}_{X/S}$  is separated,  $v$  is unique. This finishes the proof.  $\square$

• For a curve with reducible fibers, we have seen in the chapter on Picard schemes that the representability and separability of  $\text{Pic}_{X/S}$  is subtle.

thm (Raynaud)  $X/S$  proper flat regular curve with geometrically integral generic fibre.  
We assume that  $X \rightarrow S$  admits a section [there are weaker hypotheses possible].  
Let  $\left\{ \begin{array}{l} \text{Pic}^{[0]}_{X/S} \\ \text{U} \end{array} \right.$  be the part of the Picard functor of line bundles of total degree 0.  
 $E_{X/S}$  be the closure of the unit section ( $E_{X/S}$  generated by morphisms  $Z \xrightarrow{g} X/S$   
with  $Z/S$  flat and  $g_{\eta}$  factoring through  $\text{U}$ )

Then  $\left\{ \begin{array}{l} N(\text{Jac}(X_{\eta})) \cong \text{Pic}^{[0]}_{X/S} / E_{X/S} \\ \text{i.e. this quotient is representable} \end{array} \right.$   
by a separated finite type  $S$ -scheme.

$$N(\text{Jac}(X_{\eta}))^{\circ} \cong \text{Pic}^{\circ}_{X/S}$$

rmk: This implies a concrete computation of  $\pi_0(N(\text{Jac}_{X_{\eta}}))$  [BLR, 9.5].

• We now come to elliptic curves.

thm: Let  $E/\eta$  be an elliptic curve. Let  $\mathfrak{E}/S$  be the minimal regular model of  $E$ .  
Let  $\mathfrak{E}^{\text{sm}}/S$  be the  $S$ -smooth locus of  $\mathfrak{E}$ . Then  $N(E) \cong \mathfrak{E}^{\text{sm}}$ .